



03-31-04

AF\$
3623

Attorney Docket No. 10269-11

Applicants : Keiser et al.
Application No. : 09/382,907 Confirmation No.:
Filed : August 25, 1999
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL SPECIALIST
FUNCTION
Group Art Unit : 3623
Examiner : Akiba K. Robinson Boyce

New York, New York 10020
March 29, 2004

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
APR 05 2004
GROUP 3600

“Express Mail” mailing label number EV132198449US

Date of Deposit March 29, 2004

I hereby certify that this paper/fee is being deposited with the United States Postal Service “EXPRESS MAIL POST OFFICE TO ADDRESSEE” service under 37 C.F.R. 1.10 on the date indicated above and is addressed Mail Stop APPEAL BRIEF- PATENTS to the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.


Lilian Garcia

Encl:

- Authorization to Charge Deposit Account (in duplicate)
- Appeal Brief Under 37 C.F.R. §1.192 (in triplicate)
- Check for \$1,810.00 (Appeal Brief & 4 month extension)



Express Mail Label
No. EV132198449US

PATENTS
10269/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Keiser et al.
Application No. : 09/382,907 Confirmation No. :
Filed : August 25, 1999
For : **COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST
FUNCTION**
Group Art Unit : 3623
Examiner : Akiba K. Robinson Boyce

New York, New York 10020
March 29, 2004

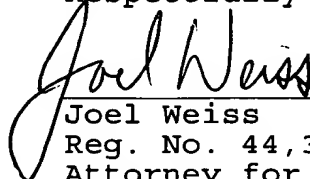
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

Sir:

The Director is hereby authorized to charge any additional fee due, or credit any overpayment, in connection with the accompanying Appeal Brief Under 37 C.F.R. § 1.192, to Deposit Account No. 06-1075. A duplicate copy of this Authorization is submitted herewith.

Respectfully submitted,



Joel Weiss

Reg. No. 44,398
Attorney for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

RECEIVED
APR 05 2004
GROUP 3600